

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending 10/31/12

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

The Raben Group, LLC

5932

(c) Business Address(es) of Registrant

1640 Rhode Island Ave NW Ste 600
Washington DC 20036

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☒ No ☐If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☒

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish the following information:

Name

Position

Date Connection Ended

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish the following information:

Name

Residence Address

Citizenship

Position

Date Assumed

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐No ☐

Inapplicable

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name

Residence Address

Citizenship

Position

Date Assumed

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position or Connection

Date Terminated

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position or Connection

Foreign Principal

Date Terminated

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐No ☐

Inapplicable

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Carlos Quesnel, Head of Section, Legal Affairs, Embassy of Mexico
Carlos Sada, Head of Section, Congressional Affairs, Embassy of Mexico
Cristina Oropeza, Legal Affairs, Embassy of Mexico

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐ InapplicableExhibit B⁴ Yes ☐ No ☐ Inapplicable

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐ Inapplicable

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

We work to support the passage of Congressional legislation to implement the Avena Judgement. This includes developing Congressional and Administration lobbying strategy, meeting with Congressional offices and Administration officials, drafting informational documents and letters, and education interested organizations and individuals about these issues. If/when needed, we will also assist with media strategy and communications.
Please see Attachment 1 for details.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Please see Attachment 2 for Details.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
Please see Attachment 3 for details			

30,501.30

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐ Inapplicable

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose

6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

- (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐
- (2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
Please see Attachment 4			

724.20

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²

Yes ☒ No ☐

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☐ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Embassy of Mexico

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☒ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

Electronic Communications

- ☐ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☒ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☒ No ☐

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

April 23, 2013

/s/ Robert Raben

eSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Attachment 1, Registrant: Raben Group, LLC Registrant Number: 5932			
Date	Participants	Venue	Description
5/7/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Email update regarding legislative updates and strategy for passage of S.1194
5/8/2012	Katharine Huffman, Alex Walden, Anya McMurray (Sen. Leahy)	Washington, DC	Email update regarding legislative strategy and support for passage of S.1194
5/9/2012	Katharine Huffman, Anya McMurray (Sen. Leahy), Tim Riese (Sen. Leahy), Nisha Prabhu (State Dept.), Kathleen Hooks (State Dept.), Bill Dodge (State Dept.)	Washington, DC	Conference call regarding updates and legislative strategy for passage of S.1194
5/11/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Phone call regarding legislative strategy for passage of S.1194
5/15/2012	Katharine Huffman, Nisha Prabhu (State Department)	Washington, DC	Phone call and email exchange regarding legislative strategy and negotiations for passage of S.1194
5/15/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	
5/16/2012	Katharine Huffman, Alex Walden, Keenan Keller, Counsel, Bobby Vassar, Chief Counsel, Tom Jawetz, Counsel, Maggie Littlewood, General Counsel, David Lachmann, Chief Counsel, Andrew Schreiber, Counsel, David Shahoulian, Counsel (House Judiciary Committee)	Washington, DC	Email regarding update on legislative strategy for passage of S.1194
5/16/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Email regarding updates on legislative strategy for passage of S.1194
5/17/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Email regarding updates on legislative language in S.1194
5/18/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Emails and phone calls regarding updates on legislative language in S.1194
5/20/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Email and phone call regarding legislative strategy for S.1194
5/22/2012	Katharine Huffman, Jonathan Thessin, Counsel (Sen. Feinstein)	Washington, DC	Emails and phone calls regarding updates on legislative language in S.1194
5/23/2012	Katharine Huffman, Anya McMurray, Tim Reiser (Sen. Leahy)	Washington, DC	Email regarding legislative background on S.1194
5/23/2012	Katharine Huffman, Jonathan Thessin, Counsel (Sen. Feinstein)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Diane Wilkinson, Doug Babcock (Sen. Brown)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Richard Bander, Rosemary Gutierrez (Sen. Harkin)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Brigid Houton, Scott Pyne (Sen. Mikulski)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Chad Metzler, Harry Stein, Marni Karlin (Sen. Kohl)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Evan Schatz, Neely Silbey (Sen. Murray)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Tom Kotarac, Chris Homan, Mara Silver (Sen. Durbin)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Karen Kunze (Sen. Johnson)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Natalie Angelo, Libby Whitbeck (Sen. Landrieu)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Steven Keenan, Carolyn Chuhla (Sen. Reid)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Dan McCarthy, Allison Peters (Sen. Lautenberg)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Kate Howard, Ryan Ehly (Sen. Ben Nelson)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Lauren Cowles, Sarah Holland (Sen. Pryor)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Tony McClain (Sen. Tester)	Washington, DC	Phone call regarding legislative strategy on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Nisha Prabhu (State Department)	Washington, DC	Phone call regarding legislative strategy on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Will Moschella, Bill Erb (Justice Department)	Washington, DC	Phone calls regarding legislative strategy on S.1194 and Foreign Ops appropriations process
5/24/2012	Katharine Huffman, Anya McMurray, Tim Reiser (Sen. Leahy)	Washington, DC	Emails regarding legislative process on S.1194 and Foreign Ops appropriations
5/24/2012	Katharine Huffman, Harold Koh, Nisha Prabhu, Kathleen Hooks, Bill Dodge (State Dept.)	Washington, DC	Meeting regarding legislative process and plans for S.1194
6/5/2012	Katharine Huffman, Anya McMurray, Tim Reiser (Sen. Leahy)	Washington, DC	Emails regarding legislative process on S.1194 and Foreign Ops appropriations
6/6/2012	Katharine Huffman, Tom Jawetz (House Judiciary Committee)	Washington, DC	Meeting regarding status and plans for S.1194 in Senate
6/13/2012	Katharine Huffman, Alex Walden, Tom Jawetz, Bobby Vassar, David Lachmann, Norberto Salinas, Ashley McDonald (House Judiciary Committee)	Washington, DC	
6/25/2012	Katharine Huffman, Alex Walden, Andrew Keller, Alex Lee, Emily Mendrala (Sen. Kerry)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
6/25/2012	Katharine Huffman, Alex Walden, Kristen Kreple (Sen. Kohl)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
6/25/2012	Katharine Huffman, Alex Walden, Dan Bachner (Sen. Coons)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
6/25/2012	Katharine Huffman, Alex Walden, Sam Clarke (Sen. Klobuchar)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
6/25/2012	Katharine Huffman, Alex Walden, Leon Fresco (Sen. Schumer)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/11/2012	Katharine Huffman, Alex Walden, Gavin Parke (Sen. Reid)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/13/2012	Katharine Huffman, Alex Walden, Daniel Silverberg (Rep. Berman)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/13/2012	Katharine Huffman, Alex Walden, Susan Rohol, Jeff Lomonaco (Sen. Franken)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/13/2012	Katharine Huffman, Alex Walden, Joanna Gabryszewski (Sen. Webb)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/17/2012	Katharine Huffman, Alex Walden, Mara Silver (Sen. Durbin)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/18/2012	Katharine Huffman, Alex Walden, Ben Weiner (Sen. Whitehouse)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/26/2012	Katharine Huffman, Alex Walden, Vance Sorchuk (Sen. Lieberman)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/26/2012	Katharine Huffman, Alex Walden, Mike Kuiken (Sen. Levin)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/31/2012	Katharine Huffman, Alex Walden, Anya McMurray, Tim Reiser (Sen. Leahy)	Washington, DC	Email regarding legislative strategy for S.1194
7/31/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Phone call regarding legislative strategy for S.1194
8/1/2012	Katharine Huffman, Alex Walden, Gavin Parke, Angela Arboleda (Sen. Reid)	Washington, DC	Phone call regarding legislative strategy for S.1194 and the appropriations process
8/3/2012	Katharine Huffman, Alex Walden, Kathleen Hooks, Harold Koh, Geoff Klineberg (State Dept.)	Washington, DC	Phone call regarding updates and legislative strategy for passage of S.1194
8/13/2012	Katharine Huffman, Alex Walden, Kathleen Hooks, Nisha Prabhu (State Dept.) and Anya McMurray, Chan Park (Sen. Leahy)	Washington, DC	Phone call regarding updates and legislative strategy for passage of S.1194
8/14/2012	Katharine Huffman, Andrew Keller, Alex Lee, Emily Mendrala (Sen. Kerry)	Washington, DC	Meeting regarding legislative process and plans for S.1194
8/15/2012	Katharine Huffman, Alex Walden, Andrew Keller (Sen. Kerry)	Washington, DC	Phone call regarding legislative updates and strategy for passage of S.1194
8/24/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Meeting regarding legislative strategy for passage of S.1194
9/4/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Conference call regarding legislative strategy for S.1194 in the final months of the 112th Congress
9/7/2012	Katharine Huffman, Alex Walden, Harold Koh, Nisha Prabhu, Virginia Frasura, Geoff Klineberg, and Sarah Cleveland	Washington, DC	Phone call regarding legislative strategy for S.1194
9/13/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Conference call regarding legislative strategy for S.1194 in the final months of the 112th Congress
9/18/2012	Katharine Huffman, Alex Walden, Vance Sorchuk (Sen. Lieberman)	Washington, DC	Phone call regarding legislative strategy for S.1194
9/19/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Meeting regarding legislative strategy for S.1194 in the final months of the 112th Congress
10/1/2012	Katharine Huffman, Alex Walden, Anya McMurray, Chan Park (Sen. Leahy)	Washington, DC	Meeting regarding legislative strategy for S.1194 in the final months of the 112th Congress
10/1/2012	Katharine Huffman, Alex Walden, Elana Broitman (Sen. Gillibrand)	Washington, DC	Meeting regarding legislative strategy for S.1194 in the final months of the 112th Congress
10/2/2012	Katharine Huffman, Alex Walden, Greg Tinch (Sen. Blumenthal)	Washington, DC	Meeting regarding legislative strategy for S.1194

Attachment 1, Registrant: Raben Group, LLC Registrant Number: 5932			
Date	Participants	Venue	Description
10/10/2012	Katharine Huffman, Alex Walden, Vance Serchuk (Sen. Lieberman)	Washington, DC	Conference call regarding legislative strategy for S.1194 in the final months of the 112th Congress
10/10/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Conference call regarding legislative strategy for S.1194 in the final months of the 112th Congress
10/11/2012	Katharine Huffman, Vance Serchuk (Sen. Lieberman)	Washington, DC	Email regarding legislative strategy for S.1194
10/11/2012	Katharine Huffman, Alex Walden, Kathleen Hooke, Virginia Frasure (State Dept.)	Washington, DC	Conference call regarding legislative strategy for S.1194 in the final months of the 112th Congress
10/16/2012	Katharine Huffman, Alex Walden, Kathleen Hooke, Virginia Frasure (State Dept.)	Washington, DC	Email regarding requested information about Avena cases.
10/24/2012	Katharine Huffman, Alex Walden, Kathleen Hooke, Virginia Frasure (State Dept.)	Washington, DC	Email regarding requested information about Avena cases.

Attachment 2, Registrant: Raben Group, LLC Registrant Number: 5932

Date	Participants	Venue	Description
5/7/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Email update regarding legislative updates and strategy for passage of S.1194
5/8/2012	Katharine Huffman, Alex Walden, Anya McMurray (Sen. Leahy)	Washington, DC	Email update regarding legislative strategy and support for passage of S.1194
5/9/2012	Katharine Huffman, Anya McMurray (Sen. Leahy), Tim Rieser (Sen. Leahy), Nisha Prabhu (State Dept.), Kathleen Hooks (State Dept.), Bill Dodge (State Dept.)	Washington, DC	Conference call regarding updates and legislative strategy for passage of S.1194
5/11/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Phone call regarding legislative strategy for passage of S.1194
5/15/2012	Katharine Huffman, Nisha Prabhu (State Department)	Washington, DC	Phone call regarding legislative strategy for passage of S.1194
5/15/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Phone call and email exchange regarding legislative strategy and negotiations for passage of S.1194
5/16/2012	Katharine Huffman, Alex Walden, Keenan Keller, Counsel, Bobby Vassar, Chief Counsel, Tom Jawetz, Counsel, Maggie Littlewood, General Counsel, David Lachmann, Chief Counsel, Andrew Schreiber, Counsel, David Shahoulian, Counsel (House Judiciary Committee)	Washington, DC	Email regarding update on legislative strategy for passage of S.1194
5/16/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Email regarding updates on legislative strategy for passage of S.1194
5/17/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Email regarding updates on legislative language in S.1194
5/18/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Emails and phone calls regarding updates on legislative language in S.1194
5/20/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Email and phone call regarding legislative strategy for S.1194
5/20/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Emails and phone calls regarding updates on legislative language in S.1194
5/22/2012	Katharine Huffman, Jonathan Thessin, Counsel (Sen. Feinstein)	Washington, DC	Email and phone call regarding legislative background on S.1194
5/23/2012	Katharine Huffman, Anya McMurray, Tim Reiser (Sen. Leahy)	Washington, DC	Emails and phone calls regarding updates on legislative language in S.1194
5/23/2012	Katharine Huffman, Jonathan Thessin, Counsel (Sen. Feinstein)	Washington, DC	Email regarding legislative background on S.1194
5/23/2012	Katharine Huffman, Alex Walden, Diane Wilkinson, Doug Babcock (Sen. Brown)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Richard Bender, Rosemary Gutierrez (Sen. Harkin)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Brigid Houton, Scott Payne (Sen. Mikulski)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process

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Date	Participants	Venue	Description
5/23/2012	Katharine Huffman, Alex Walden, Chad Metsler, Harry Stein, Mami Karlin (Sen. Kohl)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Evan Schatz, Neely Silbey (Sen. Murray)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Tom Kotarac, Chris Homan, Mara Silver (Sen. Durbin)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Karen Kunze (Sen. Johnson)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Natalie Angelo, Libby Whitbeck (Sen. Landrieu)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Steven Keenan, Carolyn Chuhta (Sen. Reed)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Dan McCarthy, Allison Peters (Sen. Lautenberg)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Kate Howard, Ryan Ehly (Sen. Ben Nelson)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Lauren Cowles, Sarah Holland (Sen. Pryor)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Alex Walden, Tony McClain (Sen. Tester)	Washington, DC	Email regarding legislative background and information on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Nisha Prabhu (State Department)	Washington, DC	Phone call regarding legislative strategy on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Will Moschella, Bill Erb (Justice Department)	Washington, DC	Phone call regarding legislative strategy on S.1194 and Foreign Ops appropriations process
5/23/2012	Katharine Huffman, Anya McMurray	Washington, DC	Phone calls regarding legislative strategy on S.1194 and Foreign Ops appropriations process
5/24/2012	Katharine Huffman, Anya McMurray, Tim Reiser (Sen. Leahy)	Washington, DC	Emails regarding legislative process on S.1194 and Foreign Ops appropriations
5/24/2012	Katharine Huffman, Harold Koh, Nisha Prabhu, Kathleen Hooke, Bill Dodge (State Dept.)	Washington, DC	Email regarding legislative process on S.1194 and Foreign Ops appropriations
6/5/2012	Katharine Huffman, Anya McMurray, Tim Reiser (Sen. Leahy)	Washington, DC	Meeting regarding legislative process and plans for S.1194
6/6/2012	Katharine Huffman, Tom Jawetz (House Judiciary Committee)	Washington, DC	Emails regarding legislative process on S.1194 and Foreign Ops appropriations
6/13/2012	Katharine Huffman, Alex Walden, Tom Jawetz, Bobby Vasser, David Lachman, Norberto Salinas, Ashley McDonald (House Judiciary Committee)	Washington, DC	Meeting regarding status and plans for S.1194 in Senate

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Date	Participants	Venue	Description
6/25/2012	Katharine Huffman, Alex Walden, Andrew Keller, Alex Lee, Emily Mendrala (Sen. Kerry)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
6/25/2012	Katharine Huffman, Alex Walden, Kristen Kreple (Sen. Kohl)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
6/25/2012	Katharine Huffman, Alex Walden, Dan Bachner (Sen. Coons)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
6/25/2012	Katharine Huffman, Alex Walden, Sam Clarke (Sen. Klobuchar)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
6/25/2012	Katharine Huffman, Alex Walden, Leon Fresco (Sen. Schumer)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/11/2012	Katharine Huffman, Alex Walden, Gavin Parke (Sen. Reid)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/13/2012	Katharine Huffman, Alex Walden, Daniel Silverberg (Rep. Berman)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/13/2012	Katharine Huffman, Alex Walden, Susan Rohol, Jeff Lomonaco (Sen. Franken)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/13/2012	Katharine Huffman, Alex Walden, Joanna Gabryszewski (Sen. Webb)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/17/2012	Katharine Huffman, Alex Walden, Mara Silver (Sen. Durbin)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/18/2012	Katharine Huffman, Alex Walden, Ben Weiner (Sen. Whitehouse)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/26/2012	Katharine Huffman, Alex Walden, Vance Serchuk (Sen. Lieberman)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/26/2012	Katharine Huffman, Alex Walden, Mike Kuiken (Sen. Levin)	Washington, DC	Meeting regarding legislative process and plans for S.1194 and Foreign Ops appropriations
7/31/2012	Katharine Huffman, Alex Walden, Anya McMurray, Tim Reiser (Sen. Leahy)	Washington, DC	Email regarding legislative strategy for S.1194
7/31/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Phone call regarding legislative strategy for S.1194
8/1/2012	Katharine Huffman, Alex Walden, Gavin Parke, Angela Arboleda (Sen. Reid)	Washington, DC	Phone call regarding legislative strategy for S.1194 and the appropriations process
8/3/2012	Katharine Huffman, Alex Walden, Kathleen Hooke, Harold Koh, Geoff Klineberg (State Dept.)	Washington, DC	Phone call regarding updates and legislative strategy for passage of S.1194
8/13/2012	Katharine Huffman, Alex Walden, Kathleen Hooke, Nisha Prabhu (State Dept.) and Anya McMurray, Chan Park (Sen. Leahy)	Washington, DC	Phone call regarding updates and legislative strategy for passage of S.1194

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Date	Participants	Venue	Description
8/14/2012	Katharine Huffman, Andrew Keller, Alex Lee, Emily Mendrala (Sen. Kerry)	Washington, DC	Meeting regarding legislative process and plans for S.1194
8/15/2012	Katharine Huffman, Alex Walden, Andrew Keller (Sen. Kerry)	Washington, DC	Phone call regarding legislative updates and strategy for passage of S.1194
8/24/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Meeting regarding legislative strategy for passage of S.1194
9/4/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Email regarding legislative strategy for S.1194
9/7/2012	Katharine Huffman, Alex Walden, Harold Koh, Nisha Prabhu, Virginia Frasure, Geoff Klineberg, and Sarah Cleveland	Washington, DC	Conference call regarding legislative strategy for S.1194 in the final months of the 112th Congress
9/7/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Phone call regarding legislative strategy for S.1194
9/13/2012	Katharine Huffman, Alex Walden, Mike Kuiken (Sen. Levin)	Washington, DC	Email regarding legislative strategy for S.1194
9/18/2012	Katharine Huffman, Alex Walden, Vance Serchuk (Sen. Lieberman)	Washington, DC	Conference call regarding legislative strategy for S.1194 in the final months of the 112th Congress
9/19/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Phone call regarding legislative strategy for S.1194
10/1/2012	Katharine Huffman, Alex Walden, Anya McMurray, Chan Park (Sen. Leahy)	Washington, DC	Meeting regarding legislative strategy for S.1194 in the final months of the 112th Congress
10/1/2012	Katharine Huffman, Alex Walden, Elana Broitman (Sen. Gillibrand)	Washington, DC	Meeting regarding legislative strategy for S.1194 in the final months of the 112th Congress
10/2/2012	Katharine Huffman, Alex Walden, Greg Tinch (Sen. Blumenthal)	Washington, DC	Meeting regarding legislative strategy for S.1194
10/10/2012	Katharine Huffman, Alex Walden, Vance Serchuk (Sen. Lieberman)	Washington, DC	Conference call regarding legislative strategy for S.1194 in the final months of the 112th Congress
10/10/2012	Katharine Huffman, Anya McMurray (Sen. Leahy)	Washington, DC	Conference call regarding legislative strategy for S.1194 in the final months of the 112th Congress
10/11/2012	Katharine Huffman, Vance Serchuk (Sen. Lieberman)	Washington, DC	Email regarding legislative strategy for S.1194
10/11/2012	Katharine Huffman, Alex Walden, Kathleen Hooke, Virginia Frasure (State Dept.)	Washington, DC	Conference call regarding legislative strategy for S.1194 in the final months of the 112th Congress
10/16/2012	Katharine Huffman, Alex Walden, Kathleen Hooke, Virginia Frasure (State Dept.)	Washington, DC	Email regarding requested information about Avena cases.
10/24/2012	Katharine Huffman, Alex Walden, Kathleen Hooke, Virginia Frasure (State Dept.)	Washington, DC	Email regarding requested information about Avena cases.

Attachment 3, Receipts - Monies, Reg: Raben Group, LLC Reg Number: 5932

The Raben Group has a formal written contract (see Exhibit B) with Kuykendall & Associates, who directs and supervises our efforts on behalf of the foreign principal. The Raben Group is compensated for its services by funds provided to Kuykendall & Associates by the Government of Mexico.

Name of Foreign Principal	Date	Purpose	Amount
Kuykendall & Associates	05/14/2012	Legislative Services	15,000.00
Kuykendall & Associates	06/12/2012	Legislative Services	15,000.00
Kuykendall & Associates	06/12/2012	Meal	2.80
Kuykendall & Associates	06/12/2012	Meal	18.32
Kuykendall & Associates	06/12/2012	Taxi	64.00
Kuykendall & Associates	06/29/2012	Conference Call Service	32.78
Kuykendall & Associates	06/29/2012	Conference Call Service	31.58
Kuykendall & Associates	06/29/2012	Taxi	40.00
Kuykendall & Associates	06/29/2012	Meal	6.82
Kuykendall & Associates	06/29/2012	F.A.R.A Reg Fee	305.00
			30,501.30

Attachment 4, Disbursements - Monies, Reg: Raben Group, LLC Reg Number: 5932

The Raben Group has a formal written contract with kuykendall & Associates, who directs and supervises our efforts on behalf of the foreign principal. The Raben Group is compensated for its services by funds provided to Kuykendall & Associates by the Government of Mexico.

Name of Foreign Principal	Date	Purpose	Amount
Kuykendall & Associates	05/01/2012	F.A.R.A Reg Fee	305.00
Kuykendall & Associates	07/13/2012	Meal	18.25
Kuykendall & Associates	07/18/2012	Taxis	256.00
Kuykendall & Associates	07/26/2012	Meal	5.55
Kuykendall & Associates	07/26/2012	Taxis	53.00
Kuykendall & Associates	08/14/2012	Meal	6.40
Kuykendall & Associates	08/30/2012	Taxis	80.00
			<u>724.20</u>

Attachment 5, Contributions, Robert Raben, Reg: Raben Group, LLC Reg Number: 5932

Date	Amount or thing of value	Name of Political Organization or Candidate
05/10/12	\$ 1,000.00	Shelley Berkley for Senate
05/10/12	\$ 250.00	Paul Penzone for Sheriff
05/16/12	\$ 500.00	Shelley Adler, candidate for Congress (NJ-03)
05/25/12	\$ 250.00	Paid for by Pete Aguilar for Congress
06/21/12	\$ 500.00	Dan Maffei for Congress
06/21/12	\$ 500.00	Menendez for Senate 2012
06/30/12	\$ 500.00	Maria Cantwell, U.S Senator
07/03/12	\$ 472.75	Pocan campaign
07/23/12	\$ 500.00	Steven Horsford for Congress
07/25/12	\$ 155.99	Joe Kennedy
07/27/12	\$ 250.00	Joe Garcia
08/07/12	\$ 500.00	John Ocegueda
08/08/12	\$ 500.00	Joe Donnelly
09/12/12	\$ 1,000.00	Sean Maloney
09/17/12	\$ 500.00	Grace for New York
09/17/12	\$ 250.00	Michelle Lujan Grisham
09/18/12	\$ 500.00	Martin Heinrich for Senate
09/19/12	\$ 250.00	PENZONE FOR SHERIFF
09/20/12	\$ 500.00	Kyrsten Sinema for Congress
09/21/12	\$ 500.00	Dan Maffei
10/08/12	\$ 250.00	Mark Takano
10/09/12	\$ 250.00	Nancy Soderberg
10/17/12	\$ 200.00	Melisa Franzen for Senate
10/18/12	\$ 500.00	Bera for Congress
10/25/12	\$ 500.00	Horsford for Congress
10/26/12	\$ 250.00	Kyrsten Sinema for Congress
	\$ 11,328.74	